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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/706,088	11/03/2000	Thad G. Walker	032026:0486

CONFIRMATION NO. 5028

## FORMALITIES LETTER



\*OC00000005774901\*

Foley & Lardner  
P. O. Box 1497  
Madison, WI 53701-1497

Date Mailed: 02/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$404.
  - \$324 for 18 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1244.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2001 CCHRU1 00000026 09706088  
01 FC:201  
02 FC:203  
03 FC:203  
04 FC:205  
355.00 OP  
162.00 OP  
40.00 OP  
65.00 OP



PATENT APPLICATION

Sector  
#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Thad G. Walker,  
Bien Chann,  
Ian A. Nelson

Date: March 22, 2001

Docket No.: 032026:0486

Serial No.: 09/706,088

Group Art Unit: 2881

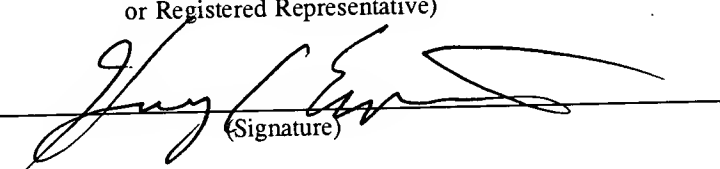
Filed: November 3, 2000

For: **FREQUENCY-NARROWED HIGH POWER DIODE LASER ARRAY  
WITH EXTERNAL CAVITY**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231 on March 22, 2001.

Harry C. Engstrom

(Name of applicant, assignee  
or Registered Representative)



(Signature)

March 22, 2001

(Date of Signature)

**TRANSMITTAL OF MISSING PARTS OF  
APPLICATION UNDER 37 C.F.R. § 1.53**

Box MISSING PARTS  
Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Dear Sir:

This is in response to the Notice to File Missing Parts of Application mailed on February 16, 2001, the time for response set to expire as of April 16, 2001.

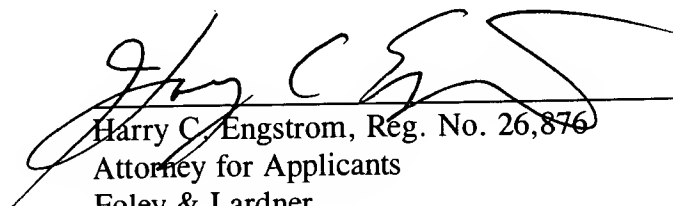
Enclosed are the following items for filing in the above-referenced U.S. patent application.

1. Declaration and Power of Attorney executed by the applicants.
2. A copy of the Notice of February 16, 2001.
3. A check in the amount of \$622.00 to cover the filing fee in the small entity amount (37 C.F.R. § 1.16(a) et seq.), and the surcharge in the small entity amount set forth in C.F.R. § 1.16(e). Small entity status for this application is requested.
4. Information Disclosure Statement, PTO-1449 and cited documents.
5. Transmittal of Formal Drawings.
6. Four (4) Sheets of Formal Drawings.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1447. A duplicate copy of this sheet is enclosed.

Having now complied with all of the requirements of 37 C.F.R. § 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Respectfully Submitted,



Harry C. Engstrom, Reg. No. 26,876  
Attorney for Applicants  
Foley & Lardner  
150 East Gilman Street  
Post Office Box 1497  
Madison, Wisconsin 53701-1497  
(608) 258-4207



PATENT APPLICATION #4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Thad G. Walker,  
Bien Chann,  
Ian A. Nelson

Date: March 22, 2001

Docket No.: 032026:0486

Serial No.: 09/706,088

Group Art Unit: 2881

Filed: November 3, 2000

For: **FREQUENCY-NARROWED HIGH POWER DIODE LASER ARRAY  
WITH EXTERNAL CAVITY**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Patent and Trademark Office, Washington, D.C. 20231 on March 22, 2001.

Harry C. Engstrom

(Name of applicant, assignee  
or Registered Representative)

(Signature)

March 22, 2001

(Date of Signature)

**TRANSMITTAL OF FORMAL DRAWINGS**

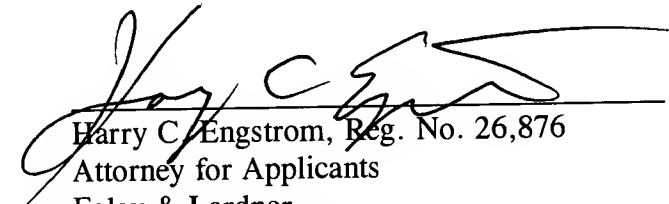
Commissioner for Patents  
Patent and Trademark Office  
Washington, DC 20231

Dear Sir:

Enclosed for filing with respect to the above-referenced patent application, please find four (4) sheets of formal drawings to be substituted for the drawings originally submitted in this application.

It is requested that applicants' undersigned attorney be notified of any further or additional requirements with respect to the enclosed formal drawings.

Respectfully submitted,



Harry C. Engstrom, Reg. No. 26,876  
Attorney for Applicants  
Foley & Lardner  
150 East Gilman Street  
Post Office Box 1497  
Madison, Wisconsin 53701-1497  
(608) 258-4207